




General FAIS disclosures

for

DJA Aviation (Pty) Ltd

FSP#: 15808

<u>Preparation & Reviewing:</u>	
Latest review date:	7 March 2024
Next review date:	1 March 2025
<u>Approval:</u>	<u>Signature:</u>
<u>Reviewed and Approved by:</u>	
Carol Kirstein (Director and Key Individual)	

General FAIS disclosures

INTERMEDIARY DISCLOSURE

FSP Name: DJA Aviation (Pty) Ltd (herein referred to as "DJA")

Category I Short term Insurance Personal lines, Personal lines A 1 and Commercial lines

We are a Financial Service Provider (#15808) and have been in the financial services industry since 1969.

In terms of Section 45 of the Short Term Insurance Act (ACT NO 53 of 1998), DJA are authorised to collect premiums from our clients. DJA's annual financial statements are subject to a statutory audit, as required by the Short Term Insurance Act (ACT NO 53 of 1998). A s19(2) and 19(3) audit reports are also produced as DJA collects premiums on behalf of various short term insurance companies.

We do not own more than 10 % of issued shares directly or indirectly of any Financial Product provider. We are not an associated company of any product provider. We do not earned more than 30 % of our total commission from one Insurance Company.

We earn our income from commission/fees that the Product Provider pays over to us on the products purchased by our clients and/or by charging a fee for services rendered to our clients. Full details/records thereof are recorded in accordance with our DJA policy, specifically with regard to a possible conflict of interest. A Conflicts of Interest Policy is maintained to manage conflicts of interest in compliance with the provisions of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS"). DJA implemented a Treating Clients Fairly policy which is available on the website.

DJA has Professional Indemnity Insurance cover that is commensurate to the size of the business and the limit of cover meets the minimum requirement per The FAIS Act.

DJA is represented by compliance officer Schalk W Kruger; Compliance Practice No 5410; Tel No: 082 570 3629; E-mail : skruger@lantic.net; Address: 271 Orion Avenue, Waterkloof, 0181

In terms of the FAIS Act we are obliged to set up a complaints resolution process. Please contact our office or refer to the complaints procedure document disclosed on our website at <https://www.dja-aviation.co.za/> to get more detailed information in this regard.



GENERAL FAIS DISCLOSURES

STRICTLY PRIVATE AND CONFIDENTIAL

DJA Aviation (Pty) Ltd

Reg. No. 1971/000601/07. An Authorised Financial Services Provider (FSP No.15808)
Building 8, Parc Nicol Office Park, 3001 Winnie Mandela Drive, Bryanston, 2191, South Africa
P O Box 71626, Bryanston, 2021 • Telephone: +27 11 463 5550 • Telefax: +27 11 463 5551
Directors: D.A. Fisher, C Kirstein, J. Herman, J.I. Nieuwoudt, G.J. Speller (British), L.B. Williams

To view the list of licensed categories, Key Individuals and Representatives who are authorised under this licence, please refer to the following FAIS website link: https://www.fsca.co.za/Fais/Search_FSP.htm

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